

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|---|----------------------|-------------------------|------------|
| PTO TRANSMITTAL FORM OCT 18 2004 (to be used for all correspondence after initial filing) | Application Number | 10/646,532 | |
| | Filing Date | August 22, 2003 | |
| | First Named Inventor | Kenneth COLLINS, et al. | |
| | Art Unit | 1763 | |
| | Examiner Name | Unknown | |
| Total Number of Pages in This Submission | 6 | Attorney Docket Number | 006915 P03 |

| ENCLOSURES (Check all that apply) | | |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| Remarks | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | |
| Firm or Individual name | Robert M. Wallace Reg. No. 29,119 | |
| Signature | <i>Robert M. Wallace</i> | |
| Date | 10/13/2004 | |

| CERTIFICATE OF TRANSMISSION/MAILING | | |
|---|--------------------|-----------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | |
| Typed or printed name | Shelly Hart | |
| Signature | <i>Shelly Hart</i> | Date 10/13/2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

CERTIFICATE OF MAILING
I hereby certify that this paper and every
paper referred to therein as being enclosed
is deposited with the U.S. Postal Service
as first class mail, postage prepaid, in
an envelope addressed to Commissioner
for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
on October 13, 2004 (Date of Deposit)
10-13-04 Shelly Hart
Date Name



PATENT

Attorney Docket No.: 006915 P03
RW Ref. No.: APM/001-02-CP1-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kenneth COLLINS, et al.

Serial No.: 10/646,532

Examiner: Unknown

Filed: August 22, 2003

Group Art Unit: 1763

For: PLASMA IMMERSION ION IMPLANTATION APPARATUS INCLUDING
A CAPACITIVELY COUPLED PLASMA SOURCE HAVING LOW
DISSOCIATION AND LOW MINIMUM PLASMA VOLTAGE

PRELIMINARY AMENDMENT TRANSMITTAL

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R :

Transmitted herewith is a Preliminary Amendment in the above-identified
application. The fee has been calculated as shown below.

| | Claims remain- ing after amendment | Highest number previously paid for | Present extra | Rate | Additional Fee |
|---|--|--|------------------|--------|----------------|
| Total Claims | 58 minus | 58 = | 0 | x \$18 | \$000.00 |
| Independent | 1 minus | 3 = | 0 | x \$88 | \$000.00 |
| If Multiple Dependent Claims Are Present, Add \$300.00 | | | | | |
| (If applicant is a "small entity," subtract half of total) | | | | | \$000.00 |
| Total additional fee for this amendment | | | | | \$000.00 |

☒ [X] No additional fee is required.

☐ [] A check in the amount of \$ is attached.

☒ [X] The Commissioner is hereby authorized to charge any additional fees or deficiencies or credit overpayment to Deposit Account No. 50-0338.

Respectfully submitted,



Robert M. Wallace
Reg. No. 29,119
Customer No. 000044843
Attorney for Applicants

Dated: 10/13/2004

Robert M. Wallace
Patent Attorney
2112 Eastman Avenue, Suite 102
Ventura, CA 93003
(805) 644-4035

CERTIFICATE OF MAILING
I hereby certify that this paper and every
paper referred to therein as being enclosed
is deposited with the U.S. Postal Service
as first class mail, postage prepaid, in
an envelope addressed to Commissioner
for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
on October 13, 2004 (Date of Deposit)
10-13-04 Shelly HZ
Date Name



PATENT

Attorney Docket No. 006915 P03
RW Ref. No.: APM/001-02-CP1-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :
Kenneth COLLINS, et al. :
Group Art Unit: 1763 :
Entitled: PLASMA IMMERSION ION :
IMPLANTATION APPARATUS INCLUDING A :
CAPACITIVELY COUPLED PLASMA SOURCE :
HAVING LOW DISSOCIATION AND LOW :
MINIMUM PLASMA VOLTAGE :
Examiner: Unknown :
Serial No.: 10/646,532 :
Filing Date: August 22, 2003 :

PRELIMINARY AMENDMENT

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to taking action in this case, please amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Remarks begin on page 3 of this paper.